Generative Artificial Intelligence (GenAl) Guidance and Rules* (Page 1 of 2)





Become familiar with Al-powered technology and the impact on your field of work.

- Take advantage of the numerous Al and genAl continuous-learning and upskilling resources available in IT Academy.
- Exchange with colleagues about genAl at genAl Teams community.



Only use Bayer-approved tools with business data and for business-related purposes.

- The tools can only be used with information classifications for which they have been approved. Refer to Bayer's Information Classification to clarify Internal, Restricted, and Secret data if needed.
- More information on GitHub Copilot, Microsoft Copilot, and myGenAssist can be found in the subchannels of the genAl Teams Community. For more information on approved tools, ask your question via generativeai@bayer.com.



Follow best practices regarding the use of genAl-generated content.

- Be transparent that a genAl tool was used in your work (e.g. watermark image). Transparency is widely recognized as a critical aspect of responsibly using Al.
- Use genAl tools as assistance and thus, in particular for text, as a source of inspiration that would require editing of output for your specific needs.



Utilize your right to disable or "opt out" of Al-based meeting tools.

When participating in online meetings with external partners, be aware of tools (e.g. read.ai) that record and summarize meetings and/or evaluate participants' sentiment.



Rules for the appropriate and safe use of genAl in text, images, audio, voice, and video with Bayer-approved tools.

For external tools: Do not enter personal data nor use any personal data provided as output. Personal data includes any kind of information (e.g., name, image, voice) relating to an identifiable natural person, including pseudonymized information. In case the use of an external tool is required for a specific business purpose, reach out to the data privacy via go/LPC-platform.

For internal tools: Follow rules on second page.

- Only use classified or restricted data (e.g. controlled or licensed data) that is specifically approved for the genAl tool. Uncontrolled use in compliance-relevant processes (e.g. GxP and GISC) is prohibited. In general, compliance-relevant data may be used on a risk-based approach after mandatory consultation with Quality Assurance (IT Quality Community).
- Do not enter, refer to, or imitate brands, logos, or copyrighted works in your prompts. To generate media that is consistent with the Bayer brand, refer to Bayer's image quidelines and video quidelines.
- Do not use material potentially protected by personality rights in your prompt. For example, don't upload images of, refer to, or attempt to replicate the work of another artist, well-known individual, or any real person.
- Critically assess output to the best of your knowledge to uphold ethical standards. Ensure no damaging stereotypes, potential biases, factually inaccurate information, preexisting works, brands, logos, people, or designs are included.
- Do not use output as the sole basis for critical decisions or as a substitute for subject matter expert advice.



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Data Privacy Rules for internal Bayer AI systems that do <u>not</u> use data to train the AI model (e.g., myGenAssist, Microsoft Copilot)

- Personal data can be used without a prior data privacy assessment if the:
 - All system is used to support an existing business purpose or activity for which the personal data is already used, and
 - Results do not have any direct consequences for the individuals involved without a prior human decision.
- # Use extra caution with Al results containing personal data as they may be inaccurate.
- Obtain a prior data privacy assessment when using AI for HR-related purposes as it may create privacy risks for individuals. Visit go/LPC-platform.
- **NOTE:** Alignment with regional Workers Councils may be required.



Examples of Potential AI Use Cases

- Examples where prior data privacy assessment is **generally not required**:
 - Summarizing documents that contain names
 - # Analyzing pseudonymized clinical study data for purposes of the study
- Examples where <u>prior</u> data privacy assessment is <u>required</u>:
 - Evaluating employee performance
 - Training AI with personal data
 - Using personal data for new business purposes or activities that were not previously possible without the AI system
- In case of uncertainties or for data-privacy assessments, contact the data privacy organization via go/LPC-platform.

General:

Stay informed about changes to this guidance. Disregard for these rules may result in the enforcement of appropriate consequences to ensure the well-being of Bayer. Further legal information can be found via *go/LegalAl*

